Sheet 1(A) of 2

U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. SUBSTITUTE FORM PTO 10/681,431 (MODIFIED) PATENT AND TRADEMARK OFFICE **XANO/33 APPLICANT** INFORMATION DISCLOSURE STATEMENT BY APPLICANT Moore et al. **GROUP FILING DATE** (Use several sheets if necessary) 1714 (37 CFR 1.98(b)) October 8, 2003 U.S. PATENT DOCUMENTS FILING DATE **EXAMINER** ISSUE IF APPROPRIATE INITIAL PATENT NUMBER DATE **PATENTEE** CLASS **SUBCLASS** 5 9 8 8 08/22/1950 Charpentier et al. A.A 2 1 6 ll 8 3 5 06/03/1958 A.B 2 8 Jacob et al. 11 A.C 8 5 9 5 10/19/1960 Smith Kline & French Labs. A.D A.E A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS DOCUMENT **PUBLICATION COUNTRY OR** TRANSLATION PATENT OFFICE NUMBER **CLASS** SUBCLASS (YES/NO) DATE A.L A.M A.N A.O A.P A.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Dahl et al., <u>Binding Affinity of Levomepromazine and Two of its Major Metabolites to Central Dopamine and alpha-Adrenergic Receptors in the Rat</u>, PHARMACOLOGY, Vol. 74, 1981, pp. 101-104, XP009044395 A.R Hals et al., Muscarinic Cholinergic and Histamine H1 Receptor Binding of Phenothiazine Drug Metabolites, LIFE SCIENCES A.S Vol. 43, No. 5, 1988, pp. 405-412, XP002318791 Hals et al., Phenothiazine Drug Metabolites: Dopamine D2 Receptor, Alpha-1 and Alpha-2 Adrenoreceptor Binding, A.T EUROPEAN JOURNAL OF PHARMACOLOGY, Vol. 125, 1986 pp. 373-381, XP009044426 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.



Sheet 2 (B) of 2

SUBSTITUTE FORM PTO-1449 (MODIFIED)					U	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				DOCKET NO 33	<b>O</b> .	SERIAL NO. 10/681,431		
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Sheet 2 (B) of 3 ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE SERIAL NO. PATENT AND TRADEMARK OFFICE **XANO/33** 10/681,431 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** Kelth Moore STATEMENT BY APPLICANT (Use several sheets if necessary) **FILING DATE GROUP** October 8, 2003 1714 (37 CFR 1.98(b)) U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE PATENT NUMBER CLASS SUBCLASS **PATENTEE** INITIAL DATE B.A B.B B.C **B.D** B.E B.F B.G в.н **B.I** B.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **DOCUMENT PUBLICATION COUNTRY OR TRANSLATION** PATENT OFFICE **CLASS** SUBCLASS (YES/NO) NUMBER DATE B.L B.M B<sub>.</sub>N **B.O** B.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Gennaro, Alfonso R, Ed., <u>Chapter 45 Oral Solid Dosage Forms</u>, Remington: The Science and Practice of Pharmacy, 20th Edition, 2000, Mack Publishing Co., PA, pp.878-893 B.R Gibson, Ed., Pharmaceutical Preformulation and Formulation, IHS Health Group, Englewood CO, 2001 pp. 331-354, 379-B.S

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XANO/33

**APPLICANT** 

**SUBSTITUTE FORM PTO-1449** U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED)

> INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## Keith Moore **FILING DATE** (Use several sheets if necessary) October 8, 2003 1714 (37 CFR 1.98(b)) **U.S. PATENT DOCUMENTS** ISSUE FILING DATE EXAMINER IF APPROPRIATE **SUBCLASS CLASS** PATENT NUMBER **PATENTEE** INITIAL DATE C.A C.B C.C C.D C.E C.F C.G C.H C.I C.J C.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS DOCUMENT **PUBLICATION COUNTRY OR TRANSLATION** SUBCLASS (YES/NO) **CLASS** NUMBER DATE PATENT OFFICE C.L C.M C.N C.O C.P C.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) C.R Sztruhar, I et al., Liquid chromatographic resolution of the enantiomers of psychotropic drug levomepromazine (methotrimeprazine) with beta-cyclodextrin-bonded chiral stationary phase, Journal of Pharmaceutical & Biomedical Analyses, 1993; 3:263-266 1 B C.S C.T DATE CONSIDERED B/24/2004 **EXAMINER**

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